PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE erwork Reduction Act of 1995. no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/580.993 TRANSMITTAL Filing Date May 26, 2006 **FORM** First Named Inventor Blum Art Unit 1764 (to be used for all correspondence after initial filing) Examiner Name Attorney Docket Number 22313 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) **Request for Corrected Filing Receipt** Response to Missing Parts/ Incomplete Application and Acceptance of Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Dennis P. Tramaloni Individual name Signature Date 02/14/2007 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Dennis P. Tramaloni 02/14/2007 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

FEB 16 2007

Inventor(s) Martin Blum, Gerald Zieres

Group: 1764

Application No. 10/580,993, filed May 26, 2006

Examiner:

(Case Docket No. 22313)

For: REACTOR FOR SOLID PHASE SYNTHESIS

REQUEST FOR CORRECTED FILING RECEIPT AND ACCEPTANCE OF APPLICATION

Nutley, New Jersey 07110 February 14, 2007

Mail Stop: OIPE Commissioner for Patents Customer Service Center P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants respectfully request a corrected filing receipt and corrected Notice of Acceptance of Application for the captioned case correcting the "Atty. Docket No." information as shown on the enclosed receipt copy.

Applicants have just received the filing receipt in Serial No. 10/580,993. In reviewing the filing receipt and the Notice of Acceptance of Application, it was noted that the Atty. Docket No. is incorrect. The correct Atty. Docket No. is 22313. Therefore, it is respectfully requested that a new filing receipt be issued correcting the Applicant(s) data.

Appl. No. 10/580,993

Filed: May 26, 2006

Also find enclosed is a revised Transmittal Letter.

Respectfully submitted,

Attorney for Applicant(s)
Dennis P. Tramaloni
(Reg. No. 28,542)
340 Kingsland Street

Nutley, NJ 07110

Telephone: (973) 235-4475 Telefax: (973) 235-2363

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PTO-1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEFARTIMENT OF COMMENTS Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER TRANSMITTAMEETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

22313 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP2004/013007 November 26, 2003 November 17, 2004 TITLE OF INVENTION REACTOR FOR SOLID PHASE SYNTHESIS APPLICANT(S) FOR DO/EO/US Martin Blum, Gerald Zieres Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER			
			PCT/EP2004/0130	007	22313		
20. Other items or information: A. Copy of the International Preliminary Examination Report;							
B. Copy of the International Search Report.							
b. Copy of the international Souten Report.							
The following fees have been submitted					CALCULATIONS	PTO USE ONLY	
21. Example 21. Sasic national fee (37 CFR 1.492(a))					\$ 300.00		
22. 🕡 Examin							
If the written opinior by IPEA/US All other situations.	indicates all cl	\$ 200.00					
23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 400.00		
TOTAL OF 21, 22 and 23 =							
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets E			Iditional 50 or fraction RATE to a whole number)				
22 - 100 =	/50 =			x \$250	\$		
Surcharge of \$130.0 after the date of cor	00 for furnishing mmencement of	\$					
CLAIMS	NUMB	R FILED NUMBER EXTRA RATE		\$			
Total claims	7	- 20 =		× \$ 50	\$		
Independent claims 2 - 3 =			x \$200	\$			
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360					\$		
		\$					
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.							
		\$ 900.00					
Processing fee of \$ claimed priority date		\$					
	•	\$ 900.00					
Fee for recording the		\$					
	•	\$ 900.00					
					Amount to be refunded:	\$	
					Amount to be charged	\$	

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a. A check in the amount of \$t	o cover the above fees is enclosed.						
b. Please charge my Deposit Account No. 08-2525 in A duplicate copy of this sheet is enclosed.	the amount of $$900.00$ to cover the above fees.						
c. The Commissioner is hereby authorized to charge any ad Account No. $\underline{08\text{-}2525}$. A duplicate copy of this sheet	ditional fees which may be required, or credit any overpayment to Deposit is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information be included on this form. Provide credit card information	mation on this form may become public. Credit card information should not an and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
and granted to restore the international Application to pending status.							
SEND ALL CORRESPONDENCE TO: George W. Johnston Hoffmann-La Roche Inc. 340 Kingsland Street Nutley, NJ 07110	SIGNATURE Dennis P. Tramaloni NAME 28,542 REGISTRATION NUMBER						

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vignia 22313-1450 www.nspto.gov

APPL NO.

FILING OR 371 (c) DATE

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ART UNIT

FIL FEE REC'D

ATTY.DOCKET NO

DRAWINGS

IND CLMS

10/580,993 / 05/26/2006 /

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21733) 22*31345*

CONFIRMATION NO. 1243

TOT CLMS

151 HOFFMANN-LA ROCHE INC. PATENT LAW DEPARTMENT 340 KINGSLAND STREET NUTLEY, NJ 07110

FILING RECEIPT

OC000000021843512

Date Mailed: 01/05/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Martin Blum, Edingen-Neckarhausen, GERMANY; Gerald Zieres, Worms, GERMANY;

Power of Attorney: The patent practitioners associated with Customer Number 00151.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/13007 11/17/2004

Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 03104393.8 11/26/2003 🗸

Dodak Certified Copy 2/8/07

If Required, Foreign Filing License Granted: 01/04/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/580,993

Projected Publication Date: 04/12/2007

Non-Publication Request: No

Early Publication Request: No

JAN - 8 2007

Copy Sent to Department PLP Title

Reactor for solid phase synthesis

Preliminary Class

422

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



IT AND TRADEMARK OFFICE United States

FEB 1 6 2007

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/580,993 /

Martin Blum

22313 21733 INTERNATIONAL APPLICATION NO.

PCT/EP04/13007

I.A. FILING DATE

PRIORITY DATE

11/17/2004

11/26/2003

CONFIRMATION NO. 1243 371 ACCEPTANCE LETTER

OC000000021843513

151 HOFFMANN-LA ROCHE INC. PATENT LAW DEPARTMENT 340 KINGSLAND STREET **NUTLEY, NJ 07110**

Date Mailed: 01/05/2007

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

05/26/2006 /

05/26/2006

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS.

DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 05/26/2006
- Copy of the International Search Report filed on 05/26/2006
- Copy of IPE Report filed on 05/26/2006
- Copy of Annexes to the IPER filed on 05/26/2006
- Preliminary Amendments filed on 05/26/2006
- Oath or Declaration filed on 05/26/2006
- Request for Immediate Examination filed on 05/26/2006
- U.S. Basic National Fees filed on 05/26/2006
- Priority Documents filed on 05/26/2006

.JAN - 8 2007

Copy Sent to Department PLP Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

VIRGINIA L IRBY

Telephone: (703) 308-9140 EXT 229

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)